

# The Existence of CR Structures

Workshop on Geometric Analysis of PDEs and Several Complex  
Variables

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The most important example of a CR manifold:

$$M^{2n+1} \subset \mathbf{C}^{n+1}$$

$$\begin{aligned} B &= T^{0,1} \cap (C \otimes T(M)) \\ &= \left\{ L = \sum_1^{n+1} \alpha_j \frac{\partial}{\partial \bar{z}_j} \mid L = X + iY, X \in TM, Y \in TM \right\} \end{aligned}$$

Properties

- rank  $B = n$
- $B \cap \bar{B} = \{0\}$
- $[B, B] \subset B$

## Abstract CR structure

$B \subset C \otimes T(M)$  is a CR structure of codimension  $k$  on  $M^{2n+k}$  if

- $\text{rank } B = n$
- $B \cap \overline{B} = \{0\}$
- $[B, B] \subset B$

**Example** If  $M^{2n+k} \subset \mathbf{C}^{n+k}$  is “generic” at  $p \in M$ , then near  $p$

$$B = T^{0,1} \cap (C \otimes T(M))$$

is a CR structure of codimension  $k$ .

**Digression** Not every abstract CR structure is realizable.

When does  $M^{2n+k}$  admit a CR structure of codimension  $k$ ?

Need that  $M$  admits an almost complex structure:

- $\text{rank } B = n$
- $B \cap \overline{B} = \{0\}$
- ~~$[B, B] \subset B$~~

The question becomes

When can  $B$  be deformed to a CR structure?

Seek  $B_t \subset \mathbb{C} \otimes T(M)$ , for  $0 \leq t \leq 1$  with

$$\text{rank } B_t = n$$

$$B_0 = B$$

$$B_t \cap \overline{B}_t = \{0\}$$

## Conjecture

Let  $M$  be an open and orientable manifold. If the cohomology groups  $H^q(M, \mathbb{Z})$  vanish for  $q$  large (depending on  $k$ ), then every almost CR structure of codimension  $k$  may be deformed to a CR structure.

Known results

$$k = 0$$

$$q \geq \frac{\dim M}{2}$$

$$k = \dim M - 2$$

$q \geq \dim M + 1$  (That is, there is no cohomological restriction.)

## Related questions

- Can every CR structure on  $M$  be approximated by a  $C^\omega$  structure?
- Can every CR structure on an open subset of  $M$  be extended to a CR structure on all of  $M$ ?
- Can a non-degenerate CR structure on an open subset of  $M$  be extended to a CR structure of the same signature on all of  $M$ ?

## Heuristic motivation

### Observe

If  $M^{2n+k}$  has a map into  $\mathbf{C}^{n+k}$  that is generic at all points of  $M$ , then

$$B = f_*(C \otimes T(M)) \cap T^{0,1}$$

determines a CR structure of codimension  $k$ .

Given some  $B^n \subset C \otimes T(M)$  would like to find some complex manifold  $X^{n+k}$  and a generic map

$$f : M \rightarrow X.$$

Actually,  $X$  will be of real dimension  $4n + 3k$  and foliated by complex manifolds of complex dimension  $n + k$ .

$X$  is used to provide half of the proof of the conjecture:

## Theorem

*Let  $B$  be a continuous almost CR structure of codimension  $k$  on  $M^{2n+k}$ . If  $(C \otimes T(M))/B$  is isomorphic to the normal bundle of a Haefliger CR structure then  $B$  is homotopic through almost CR structures to a  $C^\omega$  CR structure.*



Approach modeled on classical foliation theory

**Analysts** A foliation means the Frobenius Theorem: A subbundle  $K \subset TM$  defines a foliation if and only if  $[K, K] \subset K$ .

**Topologists** A foliation of codimension  $q$  is a collection of open sets

$$M = \bigcup \mathcal{O}_i,$$

submersions onto open subsets of  $\mathbf{R}^q$

$$f_i : \mathcal{O}_i \rightarrow U_i,$$

and compatibility conditions.

Haefliger: To show that any  $K \subset TM$  may be deformed to the tangent bundle of a foliation

- Step 1** If  $TM/K$  is isomorphic to the normal bundle of a Haefliger structure then the Gromov-Phillips Theorem may be used to find the foliation.
- Step 2** Find topological conditions on  $M$ , depending on  $\text{rank}K$ , such that for all  $K$ ,  $TM/K$  is isomorphic to such a normal bundle.

# Gromov-Phillips Theorem

Simplest case

$$f : M \rightarrow X$$

$$g : TM \rightarrow TX$$

with

$$g : TM_p \rightarrow TX_{f(p)}$$

surjective at each  $p \in M$ .

## Theorem

There exists a submersion  $F : M \rightarrow X$ .

# Haefliger Structures

An example and then the definition.

If  $M^{2n+k}$  has a  $C^\omega$  CR structure of co-dimension  $k$  then there exists an open covering

$$M = \cup \mathcal{O}_j$$

and  $C^\omega$  CR embeddings

$$f_j : \mathcal{O}_j \rightarrow \mathbf{C}^{n+k}.$$

Further, for each pair  $i, j$  with  $\mathcal{O}_i \cap \mathcal{O}_j \neq \emptyset$  there exist open sets  $U_{ij}$  containing  $f_i(\mathcal{O}_i \cap \mathcal{O}_j)$  and biholomorphism  $\gamma_{ij} : U_{ji} \rightarrow U_{ij}$  with

$$f_i = \gamma_{ij} \circ f_j \text{ on } \mathcal{O}_i \cap \mathcal{O}_j.$$

and

$$\gamma_{ik} = \gamma_{ij} \circ \gamma_{jk}.$$

## Definition

A Haefliger CR structure of codimension  $k$  on  $M^{2n+k}$  consists of

- An open covering  $M = \cup \mathcal{O}_j$ ,
- continuous maps  $f_j : \mathcal{O}_j \rightarrow \mathbf{C}^{n+k}$ ,
- local biholomorphisms  $\gamma_{ij}$  of  $\mathbf{C}^{n+k}$  defined for each pair  $(i, j)$  such that  $\mathcal{O}_i \cap \mathcal{O}_j \neq \emptyset$  satisfying

1

$$\gamma_{ik} = \gamma_{ij} \circ \gamma_{jk}$$

at all points where both sides are defined

2 and

$$f_i = \gamma_{ij} \circ f_j \text{ on } \mathcal{O}_i \cap \mathcal{O}_j.$$

# The normal bundle

The normal bundle  $\nu$  of a Haefliger CR structure is the  $\mathbf{C}^{n+k}$  bundle over  $M$  with transition functions  $d\gamma_{ij}$ .

## Lemma

*The normal bundle  $\nu$  of a Haefliger CR structure admits in a neighborhood of the zero section a foliation of dimension  $2n + k$  transverse to the bundle fibers.*

Micro-foliation

## Step 1 for CR Structures

We assume  $C \otimes T(M)/B$  is isomorphic to the normal bundle of a Haefliger structure and find a CR structure.

Write  $X$  in place of  $\nu$ .

- $\dim X = 4n + 3k$ .
- $X$  has transverse foliations  $\mathcal{F}^{2n+2k}$  and  $\mathcal{F}^{2n+k}$ .
- The leaves of  $\mathcal{F}^{2n+2k}$  are complex manifolds  $V^{n+k}$ .

1- Use  $\mathcal{F}^{2n+k}$  to define

$$p : C \otimes T(X) \rightarrow T^{1,0}V.$$

2- Use  $p$  and  $C \otimes T(M)/B \cong \nu$  to construct a surjective map

$$C \otimes T(M) \rightarrow T^{1,0}V|_M$$

with kernel  $B$ .

3- Use the h-principle to find a map

$$F : M \rightarrow X$$

with

$$p \circ F_* : C \otimes T(M) \rightarrow T^{1,0}V$$

surjective.

4- Observe that  $B = \ker p \circ F_*$  satisfies

- $\text{rank } B = n$
- $B \cap \bar{B} = \{0\}$
- $[B, B] \subset B$ .



## Step 2 for CR Structures

$$\mathcal{B} \oplus GL(n)$$

$$\downarrow \Gamma(\nu_{\mathcal{B}}) \oplus \text{id}$$

$$M \xrightarrow{\Gamma(\nu) \oplus \Gamma(B)} BGL(n+k) \oplus BGL(n)$$

The obstructions to lifting

$$\begin{array}{ccc} & & Y \\ & & \downarrow \\ M & \rightarrow & X \end{array}$$

lie in

$$H^{i+1}(M, \pi_i(F)).$$

So if

$$\pi_j(F) = 0$$

for  $0 \leq j \leq \dim M$ , and if

$$H^j(M, Z) = 0$$

for  $N + 2 \leq j \leq \dim M$ , then all maps  $M \rightarrow X$  lift.