

## HARMONIC POLYNOMIAL MAPS BETWEEN SPHERES AND COMPLEX PROJECTIVE SPACES

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ABSTRACT: We construct compact convex moduli spaces of harmonic maps between spheres and complex projective spaces.

### §1. Introduction and generalities.

Let  $M$  be a compact oriented (isotropy) irreducible Riemannian homogeneous space. We write  $M = G/K$ , where  $G$  is a transitive Lie group of isometries of  $M$  and the isotropy subgroup  $K$  acts irreducibly on the tangent space  $T_o(M)$  at the origin  $o = \{K\}$ . Let  $\lambda \in \text{Spec}(M)$  and consider the associated (finite dimensional) eigenspace  $V_\lambda \subset C^\infty(M)$ . We endow  $V_\lambda$  with the normalized  $L_2$ -scalar product  $\langle \cdot, \cdot \rangle$  defined by

$$\langle \mu, \mu' \rangle = \frac{n(\lambda) + 1}{\int_M \text{vol}(M)} \int_M \mu \cdot \mu' \text{vol}(M),$$

where  $\mu, \mu' \in V_\lambda$ , and  $n(\lambda) + 1 = \dim V_\lambda (= \text{multiplicity of } \lambda)$ . Precomposing eigenfunctions with isometries on  $M$  gives rise to an orthogonal  $G$ -module structure on  $V_\lambda$  [3]. A map  $f : M \rightarrow S^n$  into the Euclidean  $n$ -sphere is said to be a  $\lambda$ -eigenmap if the components  $f^i, i = 0, \dots, n$ , of  $f$  with respect to  $S^n \subset \mathbb{R}^{n+1}$  belong to  $V_\lambda$ . Such maps are *harmonic* in the sense of J. Eells and J.H. Sampson [7] and, in fact, they can be characterized as harmonic maps of constant energy density  $(= \frac{\lambda}{2})$  [8]. A map  $f : M \rightarrow S^n$  is said to be *full* if the image of  $f$  is not contained in a proper linear subspace of  $\mathbb{R}^{n+1}$ . Two maps  $f, f' : M \rightarrow S^n$  are said to be *equivalent* if there exists an isometry  $U \in O(n+1)$  such that  $f' = U \circ f$ . One of the fundamental problems in harmonic map theory posed by J. Eells and L. Lemaire [8] and R.T. Smith [15] in 1972 (for spherical domains) is to classify, for fixed  $\lambda \in \text{Spec}(M)$ , the equivalence classes of all full  $\lambda$ -eigenmaps  $f : M \rightarrow S^n$ .

The standard minimal immersion  $f_\lambda : M \rightarrow S^{n(\lambda)}$  is the prototype of  $\lambda$ -eigenmaps

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which is, in fact, a full (minimal) homothetic immersion. Its components comprise an orthonormal base of  $V_\lambda$ ; different choices of the base give rise to equivalent standard minimal immersions. From here on we fix  $f_\lambda : M \rightarrow S^{n(\lambda)}$  and thereby an isomorphism  $\mathbb{R}^{n(\lambda)+1} \cong V_\lambda$ . The  $G$ -module structure of  $V_\lambda$  then carries over to a  $G$ -module structure  $\rho_\lambda : G \rightarrow SO(n(\lambda) + 1)$  of  $\mathbb{R}^{n(\lambda)+1}$ . By construction,  $f_\lambda : M \rightarrow S^{n(\lambda)}$  is equivariant with respect to the homomorphism  $\rho_\lambda$ . A full  $\lambda$ -eigenmap  $f : M \rightarrow S^n$  can then be written as  $f = A \cdot f_\lambda$ , where  $A$  is an  $(n + 1) \times (n(\lambda) + 1)$ -matrix of maximal rank. The symmetric matrix

$$\langle f \rangle = A^t \cdot A - I_{n(\lambda)+1} \in S^2(\mathbb{R}^{n(\lambda)+1})$$

(depends only on and) represents the equivalence class of  $f$  uniquely. (From here on, we use [16] as a standard reference, cf. also [19].) The condition  $\langle f, f \rangle = 1$  translates into  $\langle f \rangle \in E_\lambda$ , where  $E_\lambda$  is the orthogonal complement of

$$\text{span}\{f_\lambda(x)^2 | x \in M\} \subset S^2(\mathbb{R}^{n(\lambda)+1}),$$

where  $f_\lambda(x)^2$  is the symmetric square of the unit vector  $f_\lambda(x) \in \mathbb{R}^{n(\lambda)+1}$  which is, in fact, orthogonal projection onto  $\mathbb{R} \cdot f_\lambda(x)$ . The orthogonal complement is taken with respect to the scalar product  $\langle B, B' \rangle = \text{trace } B^t \cdot B', B, B' \in S^2(\mathbb{R}^{n(\lambda)+1})$ . Clearly,  $E_\lambda$  is a  $G$ -submodule of  $S^2(\mathbb{R}^{n(\lambda)+1})$  with respect to the induced module structure  $Ad\rho_\lambda$  on  $S^2(\mathbb{R}^{n(\lambda)+1})$ . Setting

$$L_\lambda = \{C - I_{n(\lambda)+1} \in E_\lambda | C \geq 0\}$$

(where  $\geq$  stands for positive semidefinite) the correspondence  $f \mapsto \langle f \rangle$  gives rise to a parametrization of the equivalence classes of full  $\lambda$ -eigenmaps  $f : M \rightarrow S^n$  by the compact convex body  $L_\lambda$  of  $E_\lambda$ . The  $G$ -module structure of  $E_\lambda$  leaves  $L_\lambda$  invariant and, on  $L_\lambda$ , the  $G$ -action is induced by precomposing  $\lambda$ -eigenmaps with isometries on  $M$ . For fixed  $f : M \rightarrow S^n$ , the isotropy subgroup  $G_f = G_{\langle f \rangle} = \{g \in G | \exists U \in O(n+1) \text{ such that } f \circ g = U \circ f\}$  is nothing but the (maximal) symmetry group of  $f$ . The classification problem for  $\lambda$ -eigenmaps posed above can then be translated into the problem of understanding the geometry of  $L_\lambda$  (in particular, its boundary  $\partial L_\lambda$ ) in  $E_\lambda$ . As a first step we introduce a natural cell structure of  $L_\lambda$  as follows. For a fixed full  $\lambda$ -eigenmap  $f : M \rightarrow S^n$ , define

$$E_f = (\text{span}\{f(x)^2 | x \in M\})^\perp \in S^2(\mathbb{R}^{n+1})$$

and

$$L_f = \{C - I_{n+1} \in E_f | C \geq 0\}.$$

Then the affine map  $\phi : L_f \rightarrow L_\lambda$  defined by  $\phi(C - I_{n+1}) = A^t \cdot C \cdot A - I_{n(\lambda)+1}$ , injects  $L_f$  onto a compact convex set  $\bar{I}_f$  containing  $\langle f \rangle$ . For a full  $\lambda$ -eigenmap

$f' : M \rightarrow S^{n'}$ ,  $\langle f' \rangle \in \bar{I}_f$  iff  $f' = A' \cdot f$  for some  $(n' + 1) \times (n + 1)$ -matrix  $A'$  of maximal rank, or equivalently, iff the components of  $f'$  are contained in the linear span of the components of  $f$  in  $V_\lambda$ . Denoting by  $A_f$  the affine subspace of  $E_\lambda$  spanned by  $\bar{I}_f$ , we have  $A_f \cap L_\lambda = \bar{I}_f$  and the interior  $I_f$  of  $\bar{I}_f$  in  $A_f$  is a convex body containing  $\langle f \rangle$ . The convex sets  $I_f$ , for the various  $f$ , give rise to a cell decomposition of  $L_\lambda$ . Clearly,  $I_{f_\lambda} = \text{int } L_\lambda$  and when passing to the boundary of a cell the range dimension of the corresponding full  $\lambda$ -eigenmaps decrease. Note also that the range dimension is constant on any  $I_f$ , in particular, it is  $n(\lambda)$  on  $I_{f_\lambda}$ . For  $g \in G$ , we have  $g \cdot I_f = I_{f \circ g^{-1}}$  so that the  $G$ -action on  $L_\lambda$  respects the cell structure. We now subdivide the classification problem introduced above into the following problems:

- I. Compute  $\dim L_\lambda = \dim E_\lambda$ .
- II. Decompose  $E_\lambda(\otimes_{\mathbb{R}} \mathbb{C})$  into irreducible components and determine the highest weight vectors of the components.
- III. Describe the cell structure of  $L_\lambda$  modulo the action of  $G$ .

Almost nothing is known for  $\text{rank } M \geq 2$  (cf. [17]). For  $M$  rank 1,  $E_\lambda$  is the sum of those irreducible  $G$ -submodules of  $S^2(\mathbb{R}^{n(\lambda)+1})$  which are not class 1 with respect to  $(G, K)$  (i.e. which when restricted to  $K$  do not contain the trivial  $K$ -module) [17]. For  $M = S^m$ , I and II have completely been resolved [16]; III is known for the first nonrigid range ( $m = 3$  and  $\lambda = 8$ ) [19] while for  $m \geq 5$  odd a cell on  $\partial L_\lambda$  is known corresponding to  $\lambda$ -eigenmaps arising from the Hopf-Whitehead construction applied to orthogonal multiplications [18]. For  $M = \mathbb{C}P^m$ , I has been resolved in [1] using subtle representation theory while, for II, some components of  $E_\lambda$  have been determined previously [11, 12, 20]. Some components of  $E_\lambda$  have also been discovered for  $M = \mathbb{H}P^m$  whose dimensions thereby give a lower bound for I.

In §2 we treat the spherical case and select among the legion of examples some classical and recent ones of interest. The results are then applied in §3 to construct specific cells on  $\partial L_\lambda$  which give rise to a massive amount of new examples for *harmonic nonholomorphic maps between complex projective spaces*; an other fundamental question in harmonic map theory (cf. [8]). They include those discovered by A. Din and W. Zakrzewski [5, 6] and further classified by J. Eells and J.C. Wood [9].

## §2. Harmonic polynomial maps between spheres.

For  $M = S^m$  with  $(G, K) = (SO(m + 1), SO(m))$ , we have  $\lambda = \lambda_a = a(a + m - 1) \in \text{Spec}(S^m)$  and the associated eigenspace  $V_{\lambda_a}$  is nothing but the irreducible  $SO(m + 1)$ -module of spherical harmonics of order  $a$  on  $S^m \subset \mathbb{R}^{m+1}$  [3]. To simplify the notation, from now on, we write  $V_{\lambda_a} = V_a$ ,  $E_{\lambda_a} = E_a$ , etc. By the rigidity theorem of E. Calabi [4], for  $m = 2$ , we have  $L_a = \{0\}$ , i.e. the

only full  $\lambda_a$ -eigenmap is  $f_a : S^2 \rightarrow S^{2a}$ , the standard minimal immersion, which is nothing but the classical Veronese surface in  $S^{2a}$  [3]. For  $a = 1$  it is elementary that  $L_a = \{0\}$ . However, for  $m \geq 3$  and  $k \geq 2$ , we have  $\dim L_a > 0$ . More precisely, for  $m = 3$ ,

$$E_a \otimes_{\mathbf{R}} \mathbf{C} \cong \sum_{\substack{(b,c) \in \Delta_a \\ b,c \text{ even}}} \left\{ V_3^{(b,c)} \oplus V_3^{(b,-c)} \right\}$$

and, for  $m \geq 4$ ,

$$E_a \otimes_{\mathbf{R}} \mathbf{C} \cong \sum_{\substack{(b,c) \in \Delta_a \\ b,c \text{ even}}} V_m^{(b,c,0,\dots,0)},$$

where  $\Delta_a \subset \mathbf{R}^2$  is the closed triangle with vertices  $(2,2), (a,a)$  and  $(2a-2,2)$  and  $V_m^\rho$  is the (complex) irreducible  $SO(m+1)$ -module with highest weight  $\rho = (\rho_1, \rho_2, \dots, \rho_l) \in (1/2 \cdot \mathbf{Z})^l$ ,  $l = [(m+1)/2]$  (cf. [16]). Applying the Weyl dimension formula,  $\dim L_a$  can be computed.

The first nonrigid range  $m = 3$  and  $a = 2$ , i.e. the structure of full quadratic eigenmaps  $f : S^3 \rightarrow S^n$ , is of particular interest [19]. Using coordinates  $(x, y, u, v)$  on  $S^3 \subset \mathbf{R}^4$ , let  $f_n : S^3 \rightarrow S^n, 2 \leq n \leq 8, n \neq 3$ , be defined by  $f_n(x, y, u, v) =$

$$(x^2 + y^2 - u^2 - v^2, 2(xu - yv), 2(xv + yu)), (\text{Hopf map}), n = 2$$

$$(x^2 + y^2 - u^2 - v^2, 2xu, 2xv, 2yu, 2yv), n = 4$$

$$(x^2 - y^2, u^2 - v^2, 2xy, \sqrt{2}(xu + yv), \sqrt{2}(yu - xv), 2uv), n = 5$$

$$(1/\sqrt{2}(x^2 + y^2 - u^2 - v^2), 1/\sqrt{2}(x^2 - y^2), 1/\sqrt{2}(u^2 - v^2),$$

$$\sqrt{2}xy, \sqrt{3}(xu + yv), \sqrt{3}(yu - xv), \sqrt{2}uv), n = 6$$

$$(x^2 - y^2, u^2 - v^2, 2xy, \sqrt{2}xu, \sqrt{2}xv, \sqrt{2}yu, \sqrt{2}yv, 2uv), n = 7$$

$$f_{\lambda_2}(x, y, u, v), (f_{\lambda_2} \text{ a standard minimal immersion}), n = 8.$$

Then,  $I_{f_n}, 2 \leq n \leq 8, n \neq 3$ , comprise all cells of  $L_2$  modulo the action of  $O(4)$ . Moreover,  $I_{f_2} = \text{point}$ ,  $I_{f_4} = \text{segment}$ ,  $I_{f_5} = 2\text{-disk}$ ,  $I_{f_6} = (\text{finite}) \text{ solid cone}$ ,  $\dim I_{f_7} = 5$  and  $\dim I_{f_8} = 10$ . Note also that the  $O(4)$ -orbit of the point  $\langle f \rangle$  corresponding to the Hopf map  $f_2 : S^3 \rightarrow S^2$  has 2 components which are imbedded in the appropriate 4-spheres of the 5-dimensional components  $V_3^{(2,2)}$  and  $V_3^{(2,-2)}$  of  $E_2$  as Veronese surfaces.

An other discovery of cells in  $\partial L_2$  is offered by the Hopf-Whitehead construction. Recall that a bilinear map  $F : \mathbf{R}^{m+1} \times \mathbf{R}^{m+1} \rightarrow \mathbf{R}^n$  is called an *orthogonal multiplication* if  $|F(x, y)| = |x| \cdot |y|, x, y \in \mathbf{R}^{m+1}$ . For given  $F$ , the map  $f_F : S^{2m+1} \rightarrow S^n$  defined by

$$f(x, y) = (|x|^2 - |y|^2, 2F(x, y)), \\ x, y \in \mathbf{R}^{m+1}, |x|^2 + |y|^2 = 1,$$

is a quadratic eigenmap (which is full iff  $F$  is surjective) [2]. Notice that  $f_2$  and  $f_4$  introduced above correspond to complex multiplication and real tensor product on  $\mathbb{R}^2$ , respectively. In general, we consider the cell  $\bar{I}_\otimes = \bar{I}_{f_\otimes} \subset \partial L_2$ , where  $f_\otimes : S^{2m+1} \rightarrow S^{(m+1)^2}$  is associated with the tensor product  $\otimes : \mathbb{R}^{m+1} \times \mathbb{R}^{m+1} \rightarrow \mathbb{R}^{(m+1)^2}$ . Since  $f_\otimes$  is equivariant with respect to  $\rho_\otimes = \otimes : SO(m+1) \times SO(m+1) \rightarrow SO((m+1)^2)$ , the point  $\langle f_\otimes \rangle \in \bar{I}_\otimes$  is left fixed by  $SO(m+1) \times SO(m+1)$  so that setting it as the origin of the affine span  $\mathcal{A}_\otimes$  of  $\bar{I}_\otimes$ , we obtain an  $SO(m+1) \times SO(m+1)$ -module  $\mathcal{A}_\otimes$ . In fact,  $\mathcal{A}_\otimes \cong so(m+1) \otimes so(m+1)$ , where on the right hand side the module structure is given by  $Ad \otimes Ad$  [18]. It follows that  $\dim I_\otimes = (m(m+1)/2)^2$ . Note that, for  $m = 1, 2, \bar{I}_\otimes$  has been determined by M. Parker explicitly [13].

### §3. Harmonic polynomial maps between complex projective spaces.

For  $p > q \geq 0, p + q = a$ , let  $\mathcal{H}^{p,q}$  be the (complex) irreducible  $U(m+1)$ -module of complex harmonic polynomials on  $\mathbb{C}^{m+1}$  of bidegree  $(p, q)$  [3]. A base  $\{f_{p,q}^i\}_{i=0}^{n(p,q)} \subset \mathcal{H}^{p,q}$ ,  $\dim_{\mathbb{C}} \mathcal{H}^{p,q} = n(p, q) + 1$ , with respect to a normalized Hermitian  $L_2$ -scalar product on  $\mathcal{H}^{p,q}$  induces a full  $\lambda_a$ -eigenmap  $f_{p,q} : S^{2m+1} \rightarrow S^{2n(p,q)+1}$ , where the components of  $f_{p,q}$  are  $\{Re(f_{p,q}^i), Im(f_{p,q}^i)\}_{i=0}^{n(p,q)}$ . Then,  $f_{p,q}$  is equivariant with respect to the homomorphism  $\rho_{p,q} : U(m+1) \rightarrow SO(2(n(p, q) + 1))$ . Moreover as the central (diagonal) subgroup  $S^1 \subset U(m+1)$  acts on  $\mathcal{H}^{p,q}$  via  $\rho_{p,q}$  by the single weight  $p - q$ , the map  $f_{p,q}$  projects down to a map  $\tilde{f}_{p,q} : \mathbb{C}P^m \rightarrow \mathbb{C}P^{n(p,q)}$  such that  $\pi \circ f_{p,q} = \tilde{f}_{p,q} \circ \pi$ , where  $\pi$  stands for the respective Hopf maps.

LEMMA. The map  $f_{p,q} : S^{2m+1} \rightarrow S^{2n(p,q)+1}$  is horizontal with respect to  $\pi : S^{2m+1} \rightarrow \mathbb{C}P^m$ , i.e.  $(f_{p,q})_*(ker \pi_*)$  and  $(f_{p,q})_*((ker \pi_*)^\perp)$  are orthogonal in  $T(S^{2n(p,q)+1})$

PROOF: For  $z \in S^{2m+1} \subset \mathbb{C}^{m+1}$ , the horizontal subspace  $(ker \pi_*)^\perp_z$  is the orthogonal complement of  $\mathbb{C} \cdot z$  in  $\mathbb{C}^{m+1}$  (shifted to  $z$ ). Given  $w \in (ker \pi_*)^\perp_z$ , we have to show that

$$\langle df_{p,q}(w), df_{p,q}(iz) \rangle = 0,$$

where we used Hermitian scalar product in  $\mathbb{C}^{n(p,q)+1}$  and  $iz$  is considered in  $T_z(S^{2m+1})$ . By homogeneity, we have

$$\begin{aligned} df_{p,q}(iz) &= \frac{d}{dt} f_{p,q}(e^{it}z)|_{t=0} = \frac{d}{dt} e^{i(p-q)t}|_{t=0} \cdot f_{p,q}(z) \\ &= i(p-q)f_{p,q}(z) = i \frac{p-q}{a} df_{p,q}(z). \end{aligned}$$

On the other hand, differentiating  $|f_{p,q}(\cos t \cdot z + \sin t \cdot w)|^2 = 1$  at  $t = 0$ , we obtain  $\langle df_{p,q}(w), df_{p,q}(z) \rangle = 0$  and the proof is complete.

Applying the Reduction Theorem of R.T. Smith [15], we obtain that  $\tilde{f}_{p,q} : CP^m \rightarrow CP^{n(p,q)}$  is a harmonic map.

REMARKS:

1. For  $m = 1, n(p,q) + 1 = (p+1)(q+1) - pq = p+q+1 = a+1$  we obtain the harmonic maps  $\tilde{f}_{p,q} : CP^1 \rightarrow CP^a$ . They and their conjugates comprise all harmonic maps of  $CP^1$  into  $CP^a$ . (For  $a$  even we also have to add  $\tilde{f}_{a/2,a/2} : CP^1 \rightarrow CP^a$  which is induced by  $f_{a/2,a/2} : S^3 \rightarrow S^{2a+1}$  which is not full.) These are the harmonic maps which were discovered by A. Din and W. Zakrzewski [5,6] and classified by J. Eells and J.C. Wood [9].

2. For  $q = 0$ , the map  $\tilde{f}_{a,0} = \tilde{v}_a : CP^m \rightarrow CP^{n(a,0)}, n(a,0) = \binom{m+a}{a}$  is nothing but the (holomorphic) Veronese map [14] induced by  $v_a : S^{2m+1} \rightarrow S^{2n(a,0)+1}$ , where

$$v_a(z_0, \dots, z_m) = ((a!/i_0! \dots i_m!)^{1/2} z_0^{i_0} \dots z_m^{i_m})_{i_0 + \dots + i_m = a, i_0, \dots, i_m \geq 0}$$

We now take  $\tilde{I}_{p,q} = \tilde{I}_{f_{p,q}}$  and intersect it with the linear subspace  $Fix_{Ad\rho_a}(S^1, E_a)$  to obtain the convex set  $Fix_{Ad\rho_a}(S^1, \tilde{I}_{p,q})$ . Given a full  $\lambda_a$ -eigenmap  $f : S^{2m+1} \rightarrow S^N$  with  $\langle f \rangle$  in the intersection, we have  $f = A \cdot f_{p,q}$  for some  $(N+1) \times (2(n(p,q)+1))$ -matrix  $A$  of maximal rank. Moreover, as  $\langle f \rangle \in Fix_{Ad\rho_a}(S^1, E_a)$ , the map  $f$  is equivariant with respect to a homomorphism  $\rho : S^1 \rightarrow SO(N+1)$  and  $A : \mathbb{R}^{2(n(p,q)+1)} \rightarrow \mathbb{R}^{N+1}$  is intertwining between  $\rho_a$  and  $\rho$ . As  $\rho$  acts on  $\mathbb{R}^{2(n(p,q)+1)}$  with the single weight  $p - q (> 0)$  the same holds for  $\rho$ , in particular,  $N = 2n + 1$  is odd and  $A : C^{n(p,q)+1} \rightarrow C^{n+1}$  is complex linear. By equivariance,  $f$  projects down to a map  $\tilde{f} : CP^m \rightarrow CP^n$  such that  $\pi \circ f = \tilde{f} \circ \pi$ . Repeating the proof of the previous lemma, it follows that  $\tilde{f}$  is horizontal with respect to  $\pi : S^{2m+1} \rightarrow CP^m$  so that  $\tilde{f} : CP^m \rightarrow CP^n$  is harmonic. It follows that the convex set  $Fix_{Ad\rho_a}(S^1, \tilde{I}_{p,q})$  parametrizes the harmonic maps  $\tilde{f} : CP^m \rightarrow CP^n$  obtained in the above manner.

REMARK: Examples are easy to construct. For instance, for  $m = 2$  and  $p = 2, q = 1$ ,

$$f(z, w, t) = (\sqrt{7/8}(|z|^2 - 2|w|^2)z, \sqrt{1/8}(|w|^2 - 2|z|^2)w, \sqrt{7/8}(|w|^2 - 2|t|^2)w, \sqrt{1/8}(|t|^2 - 2|w|^2)t, \sqrt{7/8}(|t|^2 - 2|z|^2)t, \sqrt{1/8}(|z|^2 - 2|t|^2)z, \sqrt{6}z^2\bar{w}, \sqrt{6}w^2\bar{t}, \sqrt{6}t^2\bar{z}, \sqrt{6}\bar{z}wt)$$

gives rise to a harmonic map  $\tilde{f} : CP^2 \rightarrow CP^9$ .

Returning to the general situation, we first note that  $f_{p,q} : S^{2m+1} \rightarrow S^{2n(p,q)+1}$  is equivariant with respect to the homomorphism  $\rho_{p,q} : U(m+1) \rightarrow SO(2(n(p,q)+1))$  corresponding to the  $U(m+1)$ -module structure on  $\lambda^{p,q} \cong C^{n(p,q)+1}$ . Setting  $\langle f_{p,q} \rangle$  as the origin of the affine span  $\mathcal{E}_{p,q}$  of  $Fix_{Ad\rho_a}(S^1, \tilde{I}_{p,q})$  we obtain that  $\mathcal{E}_{p,q}$  is a  $U(m+1)$ -module and

$$\mathcal{E}_{p,q} \cong Fix_{Ad\rho_a}(S^1, E_{p,q})$$

as  $U(m+1)$ -modules, where  $E_{p,q} = E_{f_{p,q}}$  (cf. §1).

Let  $\bar{E}_{p,q}$  be the sum of those irreducible  $U(m+1)$ -submodules of  $S^2(\mathcal{H}_{\mathbb{R}}^{p,q})$  ( $\mathcal{H}_{\mathbb{R}}^{p,q} =$  the realification of  $\mathcal{H}^{p,q}$ ) which do not contain  $U(m)$ -fixed vectors.

PROPOSITION.  $\bar{E}_{p,q} \subset E_{p,q}$ .

PROOF: Let  $p : S^2(\mathcal{H}_{\mathbb{R}}^{p,q}) \rightarrow W_0$  denote the orthogonal projection, where  $W_0 = \mathbb{R} \cdot f_{p,q}(o)^2$  and  $o = (1, 0, \dots, 0) \in S^{2m+1}$  is the base point left fixed by  $U(m)$ . Consider the induced representation

$$\begin{aligned} I &= \text{Ind}_{U(m)}^{U(m+1)}(W_0) = \{ \psi : U(m+1) \rightarrow W_0 \mid \psi(u \cdot v) \\ &= u \cdot \psi(v), u \in U(m), v \in U(m+1), \psi \text{ continuous} \}. \end{aligned}$$

For  $\sigma \in S^2(\mathcal{H}_{\mathbb{R}}^{p,q})$  we define the map  $\Psi(\sigma) : U(m+1) \rightarrow W_0$  by  $\Psi(\sigma)(v) = p(v \cdot \sigma), v \in U(m+1)$ . Then  $\Psi(\sigma) \in I$  so that we obtain a homomorphism  $\Psi : S^2(\mathcal{H}_{\mathbb{R}}^{p,q}) \rightarrow I$  of  $U(m+1)$ -modules. We have  $\ker \Psi = (\text{span}\{U(m+1) \cdot W_0\})^\perp = (\text{span}\{f(x)^2 \mid x \in S^{2m+1}\})^\perp = E_{p,q}^\perp$  so that  $\text{im} \Psi = E_{p,q}^\perp \subset I$  as  $U(m+1)$ -modules. By Frobenius reciprocity [21], we have  $\dim \text{hom}_{U(m+1)}(\bar{E}_{p,q}, E_{p,q}^\perp) \leq \dim \text{hom}_{U(m+1)}(\bar{E}_{p,q}, I) = \dim \text{hom}_{U(m)}(\bar{E}_{p,q}, W_0) = 0$  and the claim follows.

By the proposition above a lower estimate on  $\dim \text{Fix}_{\text{Ad}\rho_a}(S^1, \bar{I}_{p,q}) = \dim \mathcal{E}_{p,q}$  is provided by

$$L(p, q) = \dim \text{Fix}_{\text{Ad}\rho_a}(S^1, \bar{E}_{p,q}).$$

To enumerate  $L(p, q)$ , we complexify

$$\begin{aligned} &\text{Fix}_{\text{Ad}\rho_a}(S^1, S^2(\mathcal{H}_{\mathbb{R}}^{p,q})) \otimes_{\mathbb{R}} \mathbb{C} \\ &= \text{Fix}_{\text{Ad}\rho_a}(S^1, S^2(\mathcal{H}^{p,q} \oplus \mathcal{H}^{q,p})) \\ &= \mathcal{H}^{p,q} \otimes \mathcal{H}^{q,p} \oplus \mathcal{H}^{q,p} \otimes \mathcal{H}^{p,q} \end{aligned}$$

and obtain that

$$\text{Fix}_{\text{Ad}\rho_a}(S^1, \bar{E}_{p,q} \otimes_{\mathbb{R}} \mathbb{C}) \subset \mathcal{H}^{p,q} \otimes \mathcal{H}^{q,p} \oplus \mathcal{H}^{q,p} \otimes \mathcal{H}^{p,q}$$

is the sum of those irreducible  $U(m+1)$ -submodules which do not contain  $U(m)$ -fixed vectors. This is just the condition for spherical harmonics  $\mathcal{H}^{b,b}$  so that we obtain.

$$\begin{aligned} L(p, q) &= 2 \dim_{\mathbb{C}} \mathcal{H}^{p,q} \otimes \mathcal{H}^{p,q} \\ &\quad - 2 \sum_b m [\mathcal{H}^{b,b} : \mathcal{H}^{p,q} \otimes \mathcal{H}^{p,q}] \cdot \dim_{\mathbb{C}} \mathcal{H}^{b,b}. \end{aligned}$$

For  $m, a \geq 4$ , the Littlewood-Richardson rule [10,22] gives the multiplicity

$$m [\lambda^{b,b} : \lambda^{p,q} \otimes \lambda^{q,p}] = \min\{b+1, q+1, a-b+1\}$$

(cf. also [1]).

Since

$$\dim_{\mathbb{C}} \lambda^{p,q} = \binom{m+p}{p} \binom{m+q}{q} - \binom{m+p-1}{p-1} \binom{m+q-1}{q-1}$$

we finally get, for  $m, a \geq 4$ ,

$$\dim \text{Fix}_{\text{Ad}\rho_a}(S^1, \bar{I}_{p,q}) \geq L(p,q) = 2 \left[ \binom{p+m}{p} \binom{m+q}{q} - \binom{m+p-1}{p-1} \binom{m+q-1}{q-1} \right]^2 - 2 \sum_{b=0}^a \min\{b+1, q+1, a-b+1\} \left[ \binom{m+b}{b}^2 - \binom{m+b-1}{b-1}^2 \right].$$

#### REMARKS:

1. Enumerating, for  $m = a = 4$ , we find

$$L(3,1) = 36,600.$$

2. For  $q = 0$ ,  $L(a,0) = 0$ . In fact, as can be easily shown,  $\text{Fix}_{\text{Ad}\rho_a}(S^1, \bar{I}_{a,0}) = \{v_a\}$ .

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