

# Classification of Quadratic Harmonic Maps of $S^3$ into Spheres

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**§1. Introduction and statement of the result.** In 1972 R. T. Smith posed the problem of classifying all harmonic maps  $f: S^m \rightarrow S^n$ ,  $m \geq 2$ , between Euclidean spheres whose components are homogeneous (harmonic) polynomials of (fixed) degree  $k$  (cf. [2, 6, 7] and [3], Problem (4.4), p. 70). Full linear ( $k = 1$ ) harmonic maps are isometries of  $S^m$  and, by Calabi's rigidity theorem, any full  $k$ -homogeneous harmonic map of  $S^2$  is a standard minimal immersion  $f_{\lambda_k}: S^2 \rightarrow S^{2k}$  [1, 8, 9]. The object of this paper is to give a classification of full  $k$ -homogeneous harmonic maps in the first (nonrigid) range of  $m$  and  $k$  not covered by the results above, i.e., when  $m = 3$  and  $k = 2$ .

**Classification Theorem.** *Full quadratic ( $k = 2$ ) harmonic maps of  $S^3$  into  $S^n$  exist only if  $2 \leq n \leq 8$  and  $n \neq 3$ . Moreover, if  $f: S^3 \rightarrow S^n$  is such a map, then there exist  $U \in O(4)$ ,  $V \in O(n+1)$  and a symmetric positive definite matrix  $B \in S^2(\mathbf{R}^{n+1})$  such that*

$$V \circ f \circ U = B \circ f_n,$$

where  $f_n: S^3 \rightarrow S^n$  defined by

$$f_n(x, y, u, v) = \begin{cases} (x^2 + y^2 - u^2 - v^2, 2(xu - yv), 2(xv + yu)), & [\text{Hopf map}] & n = 2 \\ (x^2 + y^2 - u^2 - v^2, 2xu, 2xv, 2yu, 2yv), & & n = 4 \\ (x^2 - y^2, u^2 - v^2, 2xy, \sqrt{2}(xu + yv), \sqrt{2}(yu - xv), 2uv), & & n = 5 \\ (\frac{1}{\sqrt{2}}(x^2 + y^2 - u^2 - v^2), \frac{1}{\sqrt{2}}(x^2 - y^2), \frac{1}{\sqrt{2}}(u^2 - v^2), \\ \quad \sqrt{2}xy, \sqrt{3}(xu + yv), \sqrt{3}(yu - xv), \sqrt{2}uv), & & n = 6 \\ (x^2 - y^2, u^2 - v^2, 2xy, \sqrt{2}xu, \sqrt{2}xv, \sqrt{2}yu, \sqrt{2}yv, 2uv), & & n = 7 \\ f_{\lambda_2}(x, y, u, v), [f_{\lambda_2} = \text{a standard minimal immersion}] & & n = 8 \end{cases}$$

For fixed  $n$ , the matrices  $B$  (corresponding to the various maps  $f$ ) form an (open) convex body  $I_f$  lying in a finite-dimensional vector space. Finally,  $I_{f_2}$  = point,  $I_{f_4}$  = segment,  $I_{f_5}$  = 2-disk,  $I_{f_6}$  = (finite) solid cone,  $\dim I_{f_7} = 5$  and  $\dim I_{f_8} = 10$ .

**Remark.** By way of contrast (to the nonexistence of full quadratic harmonic maps  $f: S^3 \rightarrow S^3$ ), quaternionic square induces a full quadratic map of  $S^3$  onto  $S^3$  which is evidently nonharmonic (cf. also [10]). Note also that the gradient of cubic isoparametric functions give rise to full quadratic harmonic maps  $f: S^n \rightarrow S^n$  in dimensions  $n = 4, 7, 13, 25$  [11].

**§2. Generalities on the parameter space.** By the generalized Do Carmo–Wallach classification theorem, for fixed  $k$  and  $m$ , the equivalence classes of full  $k$ -homogeneous harmonic maps  $f: S^m \rightarrow S^n$  can be parametrized by a compact convex body  $L^\circ$  lying in a finite-dimensional vector space  $E$  (cf. [8], pp. 297–304). The parametrization is given by associating to  $f$  the symmetric matrix

$$\langle f \rangle = A^t \cdot A - I_{n(k)+1} \in S^2(\mathbf{R}^{n(k)+1}),$$

where  $A$  is the  $(n+1) \times (n(k)+1)$ -matrix defined by  $f = A \circ f_{\lambda_k}$  with  $f_{\lambda_k}: S^m \rightarrow S^{n(k)}$  a fixed standard minimal immersion. Then

$$E = (W^\circ)^\perp = (\text{span}\{f_{\lambda_k}(x)^2 | x \in S^m\})^\perp \subset S^2(\mathbf{R}^{n(k)+1})$$

and

$$L^\circ = \{C - I_{n(k)+1} \in E | C \geq 0\}.$$

In a similar vein, for fixed  $f$ , define

$$E_f = (W_f^\circ)^\perp = (\text{span}\{f(x)^2 | x \in S^m\})^\perp \subset S^2(\mathbf{R}^{n+1})$$

and

$$L_f^\circ = \{C - I_{n+1} \in E_f | C \geq 0\}.$$

Then the affine map  $\varphi: L_f^\circ \rightarrow L^\circ$  defined by  $\varphi(C - I_{n+1}) = A^t \cdot C \cdot A - I_{n(k)+1}$ , injects  $L_f^\circ$  onto a compact convex set  $\bar{I}_f$ . Denoting by  $A_f$  the affine (= flat) subspace of  $E$  spanned by  $\bar{I}_f$ , we have  $A_f \cap L^\circ = \bar{I}_f$  and the interior  $I_f$  of  $\bar{I}_f$  in  $A_f$  is a convex body containing  $\langle f \rangle$ . The convex sets  $I_f$ , for the various  $f$ , give rise to a cell-decomposition of  $L^\circ$ . Clearly,  $I_{f_{\lambda_k}} = \text{int } L^\circ$  and the points of  $I_{f_{\lambda_k}}$  correspond to maps  $f: S^m \rightarrow S^n$  with maximal  $n = n(k)$ .

Precomposing harmonic maps with isometries of  $S^m$  induces an  $SO(m+1)$ -action on the set of equivalence classes which, in turn, corresponds to the restriction (to  $L^\circ$ ) of the  $SO(m+1)$ -module structure of  $E$  given by  $\text{Ad } \rho_{\lambda_k}$ , where  $\rho_{\lambda_k}: SO(m+1) \rightarrow SO(n(k)+1)$  is the homomorphism associated with the equivariance of  $f_{\lambda_k}$ . Then, for  $a \in SO(m+1)$ , we have  $a \cdot I_f = I_{f \circ a^{-1}}$ , i.e., the  $SO(m+1)$ -action on  $L^\circ$  respects the cell structure of  $L^\circ$  introduced above. Note also that  $\dim L^\circ = \dim E > 0$  if and only if  $m \geq 3$  and  $k \geq 2$  [8]. For fixed  $f: S^m \rightarrow S^n$ , the isotropy subgroup  $SO(m+1)_{\langle f \rangle}$  is the “symmetry group” of  $f$  defined by

$$SO(m+1)_f = \{a \in SO(m+1) | \exists U \in O(n+1) \text{ such that } f \circ a = U \circ f\}.$$

Its Lie algebra is given by  $so(m+1)_f = \{X \in so(m+1) | \exists A \in so(n+1) \text{ such that } f_*(X) = A \circ f\}$ .

Let  $K(f)$  denote the (finite-dimensional) vector space of divergence free Jacobi fields along  $f$ . Then ([8], pp. 278-290)

$$(1) \quad so(n+1) \circ f + E_f \circ f + f_*(so(m+1)) \subset K(f).$$

The composition

$$so(m+1) \rightarrow f_*(so(m+1)) \rightarrow \frac{f_*(so(m+1))}{(f_*(so(m+1)) \cap so(n+1) \circ f)}$$

given by  $f_*$  and the canonical projection has kernel  $so(m+1)_f (\supset \ker f_*)$  and so we have

$$(2) \quad \begin{aligned} T_{\langle f \rangle} &= T_{\langle f \rangle}(SO(m+1)(\langle f \rangle)) \simeq \frac{so(m+1)}{so(m+1)_f} \\ &\simeq \frac{f_*(so(m+1))}{(f_*(so(m+1)) \cap so(n+1) \circ f)} \subset \frac{K(f)}{so(n+1) \circ f}. \end{aligned}$$

More explicitly, the isomorphism is given as follows: For  $X_{\langle f \rangle} \in T_{\langle f \rangle}$ , let  $(a(t)) \subset SO(m+1)$  be a 1-parameter subgroup with  $\frac{d}{dt}(a(t) \cdot \langle f \rangle)|_{t=0} = X_{\langle f \rangle}$ . Denoting by  $X$  the infinitesimal isometry on  $S^m$  induced by  $(a(t))$ , to  $X_{\langle f \rangle}$ , the isomorphism associates  $f_*(X) \text{ mod } (f_*(so(m+1)) \cap so(n+1) \circ f)$ .

As  $E_f \cap so(n+1) = \{0\}$ , we will consider  $E_f \circ f$  as a linear subspace of  $\frac{K(f)}{so(n+1) \circ f}$ . It follows that, under the isomorphism above, the linear subspace  $A_f \cap T_{\langle f \rangle}$  corresponds to  $E_f \circ f \cap \frac{f_*(so(m+1))}{(f_*(so(m+1)) \cap so(n+1) \circ f)}$ . Moreover, for  $X_{\langle f \rangle} \in A_f \cap T_{\langle f \rangle}$  given by  $X_{\langle f \rangle} = \frac{d}{dt}(a(t) \cdot \langle f \rangle)|_{t=0}$ , the orbit  $t \mapsto a(t) \cdot \langle f \rangle$ ,  $t \in \mathbf{R}$ , is actually contained in  $I_f$ .

Factorizing out, we have

$$(3) \quad \frac{E_f \circ f + f_*(so(m+1))}{(f_*(so(m+1)) \cap so(n+1) \circ f)} \subset \frac{K(f)}{so(n+1) \circ f}.$$

Clearly, for (full)  $f: S^m \rightarrow S^{n(k)}$ , equality holds in (1) and (3).

**§3. Proof of the Classification Theorem.** The entire space of quadratic harmonic polynomials in four variables  $x, y, u, v$  is 9-dimensional and is spanned by  $x^2 + y^2 - u^2 - v^2, x^2 - y^2, u^2 - v^2, xy, xu, xv, yu, yv, uv$ . Hence, for  $2 \leq n \leq 8$ , a full quadratic harmonic map  $f: S^3 \rightarrow S^n$  is given by

$$(4) \quad \begin{aligned} f(x, y, u, v) &= b_1x^2 + b_2y^2 + c_1u^2 + c_2v^2 \\ &\quad + d_1xy + d_2xu + d_3xv + d_4yu + d_5yv + d_6uv, \end{aligned}$$

where the vectors  $b_i, c_i, d_j \in \mathbf{R}^{n+1}$ ,  $i = 1, 2, j = 1, \dots, 6$ , span  $\mathbf{R}^{n+1}$  and  $b_1 + b_2 + c_1 + c_2 = 0$ . As  $\|f(x, y, u, v)\|^2$  is a homogeneous polynomial of degree 4, the condition  $\text{Im}(f) \subset S^n$  translates into

$$(5) \quad \|f(x, y, u, v)\|^2 = (x^2 + y^2 + u^2 + v^2)^2$$

which has to be satisfied for all  $(x, y, u, v) \in \mathbf{R}^4$ . Substituting (4) into (5) and expanding both sides, we obtain various orthogonality relations between  $b_i, c_i, d_j \in \mathbf{R}^{n+1}$ . For  $n = 2$ , a straightforward computation (in the use of the vector cross-product in  $\mathbf{R}^3$ ) gives the general form of a full quadratic harmonic map  $f: S^3 \rightarrow S^2$ , namely  $f$  is equivalent to

$$\begin{aligned} f_{\alpha, \beta}^\varepsilon(x, y, u, v) &= \left( \cos \frac{\alpha}{2} (x^2 + y^2 - u^2 - v^2) + 2 \sin \frac{\alpha}{2} \sin \frac{\beta}{2} (\varepsilon_3 xv + \varepsilon_4 yu) \right. \\ &\quad \left. - 2 \sin \frac{\alpha}{2} \cos \frac{\beta}{2} (\varepsilon_2 xu + \varepsilon_5 yv), \right. \\ &\quad \left. \sin \frac{\alpha}{2} (x^2 - y^2 - \cos \beta (u^2 - v^2)) \right. \\ &\quad \left. + 2 \cos \frac{\alpha}{2} \cos \frac{\beta}{2} (\varepsilon_2 xu - \varepsilon_5 yv) - 2 \cos \frac{\alpha}{2} \sin \frac{\beta}{2} (\varepsilon_3 xv - \varepsilon_4 yu) \right. \\ &\quad \left. + 2\varepsilon_6 \sin \frac{\alpha}{2} \sin \beta uv, \right. \\ &\quad \left. - \sin \frac{\alpha}{2} \sin \beta (u^2 - v^2) - 2 \sin \frac{\alpha}{2} (\varepsilon_1 xy + \varepsilon_6 \cos \beta uv) \right. \\ &\quad \left. + 2 \cos \frac{\alpha}{2} \sin \frac{\beta}{2} (\varepsilon_2 xu - \varepsilon_5 yv) + 2 \cos \frac{\alpha}{2} \cos \frac{\beta}{2} (\varepsilon_3 xv - \varepsilon_4 yu) \right), \end{aligned}$$

where  $0 \leq \alpha, \beta \leq \pi$  and  $\varepsilon = (\varepsilon_j)_{j=1}^6 \in \mathbf{Z}_2^6$  obeys the sign relations  $\varepsilon_1 \varepsilon_2 \varepsilon_4 = -\varepsilon_1 \varepsilon_3 \varepsilon_5 = \varepsilon_2 \varepsilon_3 \varepsilon_6 = -\varepsilon_4 \varepsilon_5 \varepsilon_6 = 1$ . For fixed  $\varepsilon$ , all  $f_{0, \beta}^\varepsilon, 0 \leq \beta \leq \pi$ , are equivalent. Passing to the equivalence classes, we obtain a homeomorphic embedding of the triangle  $\frac{[0, \pi]^2}{\{0\} \times [0, \pi]}$  into  $\partial L^\circ$  (induced by  $(\alpha, \beta) \rightarrow f_{\alpha, \beta}^\varepsilon$ ). By the sign relations, these 8 triangles (corresponding to the various  $\varepsilon$ ) are easily seen to be pasted together along their edges to form two disjoint copies  $\mathbf{R}P_1^2$  and  $\mathbf{R}P_2^2$  of the real projective plane containing the (equivalence class of the) Hopf map  $f_2$  and its “dual”

$$f_2'(x, y, u, v) = (x^2 + y^2 - u^2 - v^2, \quad 2(xu + yv), \quad 2(xv - yu)),$$

respectively. The symmetry group  $SO(4)_{f_2}$ , being the isotropy subgroup of  $\langle f_2 \rangle \in \partial L^\circ$ , is then at least 4-dimensional since the respective orbit is contained in  $\mathbf{R}P_1^2$ . On the other hand,  $SO(4)_{f_2} \subset SO(4)$  is a proper subgroup since the

Hopf map is not equivariant. It follows that  $\dim SO(4)_{f_2} = 4$  and therefore  $SO(4)(\langle f_2 \rangle) = \mathbf{R}P_1^2$ . Passing to  $O(4)$ , we recover the other copy  $\mathbf{R}P_2^2$ . The statement of the theorem follows for  $n = 2$ .

For the nonexistence of full quadratic harmonic maps  $f: S^3 \rightarrow S^3$ , we have to show that there is no system of vectors  $b_i, c_i, d_j \in \mathbf{R}^4, i = 1, 2, j = 1, \dots, 6$ , spanning  $\mathbf{R}^4$  such that they satisfy the orthogonality relations equivalent to (5). This can be done by tedious but elementary computation separating the cases  $\dim \text{span}\{b_i, c_i\}_{i=1}^2 = 1, 2$  or  $3$ . (Note that in the last case, it is convenient to use the vector cross-product on  $\text{span}\{b_i, c_i\}_{i=1}^2$ .)

Let  $V_1$  and  $V_2$  denote the affine span of  $\mathbf{R}P_1^2$  and  $\mathbf{R}P_2^2$ , respectively. As  $SO(4)$  acts on  $E$  without fixed points,  $V_1$  and  $V_2$  are actually linear ( $SO(4)$ -invariant) subspaces of  $E$ . We claim that  $E = V_1 \oplus V_2$  is an orthogonal direct sum with  $V_1$  and  $V_2$  irreducible  $SO(4)$ -submodules. First note that in [8] we determined the irreducible components of the complex  $SO(m+1)$ -module  $E \otimes_{\mathbf{R}} \mathbf{C}$  in general in terms of the (coordinates of the) highest weights which, in our case  $m = 3$  and  $k = 2$ , specializes to  $E \otimes_{\mathbf{R}} \mathbf{C} \simeq V_3^{2,2} \oplus V_3^{2,-2}$ , in particular,  $\dim E = 2 \dim V_3^{2,2} = 10$ . (This alone implies the theorem for  $n = 8$ .) Now, computation shows that  $SO(4)_{f_2} \cap SO(4)_{f'_2}$  is 2-dimensional (in fact, the identity component is the standard maximal torus  $T \subset SO(4)$ ), or equivalently,  $SO(4)_{f_2}$  acts transitively on  $\mathbf{R}P_2^2$  from which the decomposition follows easily. To describe the orbit structure of the irreducible orthogonal  $SO(4)$ -module  $V_1$  first note that the possible (positive) dimensions of the orbits may only be 2 and 3. A straightforward topological argument shows then that the 3-dimensional orbits actually occur. In fact, if all nontrivial orbits were 2-dimensional, or equivalently, if there were no singular orbits on the unit sphere  $S_1^4$  of  $V_1$ , then  $S_1^4$  would split into a product, a contradiction. It follows that the orbits on  $S_1^4$  form a homogeneous (isoparametric) family of hypersurfaces with no exceptional orbit and two singular orbits (= focal varieties) corresponding to  $\mathbf{R}P_1^2$  and its antipodal [5]. The same description applies to  $\partial L_1 = \partial L^\circ \cap V_1$  as it is equivariantly homeomorphic with  $S_1^4$ . Now, consider  $\bar{I}_{f_5}$ . By straightforward computation, we obtain that  $\bar{I}_{f_5}$  is a closed 2-disk with center  $\langle f_5 \rangle$  and boundary  $\partial I_{f_5} = \{ \langle f_2^\varphi \rangle \mid \varphi \in \mathbf{R} \} \subset \mathbf{R}P_1^2$ , where, using complex coordinates  $z = x + iy$  and  $w = u + iv, f_2^\varphi(z, w) = (e^{i\varphi} z^2 + w^2, \text{Im}(2e^{i(\varphi/2)} z \bar{w}))$ . Moreover,  $\partial I_{f_5}$  is an orbit under the action of the circle group

$$\Gamma = \{ \text{diag}(e^{i\alpha}, e^{-i\alpha}) \mid \alpha \in \mathbf{R} \} \subset SO(4).$$

As  $\langle f_5 \rangle$  is in the center of  $\bar{I}_{f_5}$ , the orbit  $SO(4)(\langle f_5 \rangle)$  is singular and is then opposite to  $\mathbf{R}P_1^2$  in  $\partial L^\circ$ . In fact, as computation shows,  $\langle f_5 \rangle \in \mathbf{R} \cdot \langle f_2 \rangle$ . The orbit structure on  $\partial L_1$  is that of a homogeneous family of hypersurfaces and hence (by considering a radial segment of  $\bar{I}_{f_5}$ ) we obtain that every  $SO(4)$ -orbit on  $\partial L_1$  intersects  $\bar{I}_{f_5}$ , or equivalently,  $SO(4)(\bar{I}_{f_5}) = \partial L_1$ . As  $f'_2 = f_2 \circ \text{diag}(1, 1, -1, 1)$ , the orbit and cell structures on  $\partial L_2 = \partial L^\circ \cap V_2$  are the same as on  $\partial L_1$ .

Next we consider  $\bar{I}_{f_4}$ . A simple computation shows that  $\bar{I}_{f_4} \subset \partial L^\circ$  is a closed segment with boundary points  $\langle f_2 \rangle \in \mathbf{R}P_1^2$  and  $\langle f'_2 \rangle \in \mathbf{R}P_2^2$ . Since every isotropy subgroup of  $\mathbf{R}P_1^2$  acts transitively on  $\mathbf{R}P_2^2$ , the group  $SO(4)$  acts transitively on the set of segments joining  $\mathbf{R}P_1^2$  and  $\mathbf{R}P_2^2$  whose union is therefore equal to  $SO(4)(\bar{I}_{f_4})$ .

Next,  $\bar{I}_{f_6} \subset \partial L^\circ$  is a solid cone with base 2-disk  $\bar{I}_{f_5} \in \partial L_1$  and vertex  $\langle f'_2 \rangle \in \mathbf{R}P_2^2$ . Note also that  $\langle f_6 \rangle$  is on the center segment joining  $\langle f_5 \rangle$  and  $\langle f'_2 \rangle$  and the circle group  $\Gamma$  leaves  $\langle f'_2 \rangle$  fixed and hence rotates  $\bar{I}_{f_6}$ . As  $\langle f_5 \rangle \in \mathbf{R} \cdot \langle f_2 \rangle$ , it follows that  $SO(4)$  acts transitively on the set of cones with base 2-disk a 2-cell in  $\partial L_1$  and vertex in  $\mathbf{R}P_2^2$ . The union of these cones then coincides with  $SO(4)(\bar{I}_{f_6})$ . The same conclusion holds for  $V_1$  and  $V_2$  interchanged (by applying  $\text{diag}(1, 1, -1, 1) \in O(4)$ ).

Finally,  $\bar{I}_{f_7} \subset \partial L^\circ$  is a 5-dimensional compact convex body which is the convex hull of  $\bar{I}_{f_5}$  and  $\bar{I}_{f'_5}$ , where

$$f'_5(x, y, u, v) = (x^2 - y^2, u^2 - v^2, 2xy, \sqrt{2}(xu - yv), \sqrt{2}(yu + xv), 2uv)$$

with  $\partial I_{f'_5} \subset \mathbf{R}P_2^2$  and  $\langle f'_5 \rangle \in \mathbf{R} \cdot \langle f'_2 \rangle$ . Moreover,  $\Gamma$  leaves  $\bar{I}_{f'_5}$  pointwise fixed. (In fact, in complex coordinates,  $\partial I_{f'_5}$  is given by the equivalence classes of  $f_2'^\varphi(z, w) = (e^{i\varphi} \bar{z}^2 + w^2, \text{Im}(2e^{i(\varphi/2)} \bar{z}w))$ .) Similarly, the circle group  $\Gamma' = \{\text{diag}(e^{i\alpha}, e^{i\alpha}) | \alpha \in \mathbf{R}\}$  leaves  $\bar{I}_{f_5}$  pointwise fixed and rotates  $\bar{I}_{f'_5}$ . The group  $SO(4)$  acts again transitively on the set of convex hulls spanned by any pairs of 2-cells  $(C_1, C_2)$  with  $C_i \subset \partial L_i$ ,  $i = 1, 2$ . The union of this set is contained in  $SO(4)(\bar{I}_7) \subset \partial L^\circ$ .

Summarizing, we obtain that  $O(4)(\bar{I}_{f_6} \cup \bar{I}_{f_7}) \subset \partial L^\circ$  contains all segments which join  $\partial L_1$  and  $\partial L_2$  and hence it coincides with the whole  $\partial L^\circ$ . Since

$$O(4)(\bar{I}_{f_6} \cup \bar{I}_{f_7}) = O(4)\left(\bigcup_{\substack{n=2 \\ n \neq 3}}^7 I_{f_n}\right),$$

the proof is finished.

**§4. Applications.** In his thesis [6], R. T. Smith also posed the following problem:

Given a Jacobi field  $v$  along a harmonic map  $f: M \rightarrow N$  between Riemannian manifolds  $M$  and  $N$ , does there exist a 1-parameter family  $f_t: M \rightarrow N$ ,  $|t| < \varepsilon$ , of harmonic maps such that  $f_0 = f$  and  $\frac{\partial f_t}{\partial t} \Big|_{t=0} = v$ ?

By constructing a 1-parameter group of nonharmonic diffeomorphisms of  $\mathbf{R}^3$  with induced Jacobi field, he solved the problem negatively [6], pp. 105–107. Here we show, however, that the answer to this question is affirmative for a large number of (full)  $k$ -homogeneous harmonic maps  $f: S^m \rightarrow S^n$ . We begin with the following:

**Lemma.** *Let  $f: S^m \rightarrow S^n$  be a full  $k$ -homogeneous harmonic map and assume that equality holds in (1). Then  $v \in K(f)$  if and only if there exists a 1-parameter family  $f_t: S^m \rightarrow S^n$  of full  $k$ -homogeneous harmonic maps such that  $f_0 = f$  and  $\frac{\partial f_t}{\partial t}|_{t=0} = v$ .*

*Proof.* Given  $v \in K(f)$ , the induced vector-function  $\check{v}: S^m \rightarrow \mathbf{R}^{n+1}$  decomposes as  $\check{v} = A \cdot f + B \cdot \dot{f} + X(f)$ , where  $A \in so(n+1)$ ,  $B \in E_f$  and  $X \in so(m+1)$ . Choose  $\varepsilon > 0$  such that  $2tB + I_{n+1}$  is positive definite for  $|t| < \varepsilon$  and define  $f_t = \varphi_t \circ \sqrt{2tB + I_{n+1}} \circ f \circ \psi_t$ , where  $(\varphi_t) = (\exp(tA)) \subset SO(n+1)$  and  $(\psi_t) = (\exp(tX)) \subset SO(m+1)$ . Then  $\Delta^{S^m} f_t = \lambda_k \cdot f_t$ ,  $\lambda_k = k(k+m-1) \in \text{Spec}(S^m)$ , i.e.,  $f_t: S^m \rightarrow S^n$  is a (full)  $k$ -homogeneous harmonic map for  $|t| < \varepsilon$ . Clearly,  $f_0 = f$  and  $\frac{\partial f_t}{\partial t}|_{t=0} = v$ . The converse follows from the fact that  $v \in K(f)$  if and only if  $\Delta^{S^m} \check{v} = \lambda_k \cdot \check{v}$  ([8], p. 280).

As noted at the end of §2, the condition of the lemma is satisfied for any  $f$  with equivalence class in  $\text{int } L^\circ$ . Using the (proof of the) Classification Theorem, for  $n = 3$  and  $k = 2$ , we can prove more, namely:

**Theorem 1.** *For any full quadratic harmonic map  $f: S^3 \rightarrow S^n$ ,  $2 \leq n \leq 8$  and  $n \neq 3$ , equality holds in (1). In particular, every  $v \in K(f)$  can be generated by a 1-parameter family of quadratic harmonic maps.*

*Proof.* Using the notations in the proof of the Classification Theorem, clearly, it is enough to show that equality holds in (1) on the cells  $I_{f_n}$ ,  $2 \leq n \leq 7$ ,  $n \neq 3$ . To argue by dimension comparison, we first note that  $\dim\left(\frac{K(f)}{so(n+1) \circ f}\right)$  is constant on any open cell. Using an appropriate base in the space of quadratic spherical harmonics on  $S^3$ , we note that a tedious but straightforward computation yields

$$(6) \quad \dim\left(\frac{K(f_n)}{so(n+1) \circ f_n}\right) = \begin{cases} 2, & n = 2, \\ 5, & n = 4, \\ 4, & n = 5, \\ 7, & n = 6, \\ 9, & n = 7. \end{cases}$$

For  $n = 2$ , as  $T_{\langle f_2 \rangle} = T_{\langle f_2 \rangle}(\mathbf{R}P_1^2)$  is 2-dimensional, equality holds already in (2). For fixed  $f: S^3 \rightarrow S^4$ ,  $\langle f \rangle \in I_{f_4}$ , we first claim that  $E_f \circ f \cap f_*(so(4)) = \{0\}$ . In fact, as noted in §2, if  $X_{\langle f \rangle} = \frac{d}{dt}(a(t) \cdot \langle f \rangle)|_{t=0} \in A_f \cap T_{\langle f \rangle}$ , then the orbit  $t \mapsto a(t) \cdot \langle f \rangle$ ,  $t \in \mathbf{R}$ , is contained in  $I_{f_4}$  which, by orthogonality, is possible only if  $X_{\langle f \rangle} = 0$ . The action of  $SO(4)$  on  $\partial L^\circ$  respects the cell structure and so  $SO(4)_f = SO(4)_{f_2} \cap SO(4)_{f'_2}$  with identity component  $T \subset SO(4)$  the standard maximal torus. Hence  $\dim(SO(4)(\langle f \rangle)) = 4$ . This and  $\dim E_f \circ f = 1$  make up 5 in (6). For  $n = 5$ ,  $\bar{I}_{f_5}$  is a 2-disk with center  $\langle f_5 \rangle \in \mathbf{R} \cdot \langle f_2 \rangle$ , in particular,  $SO(4)_{f_5} = SO(4)_{f_2}$  and the orbit  $SO(4)(\langle f_5 \rangle)$  is 2-dimensional. As

the circle group  $\Gamma$  rotates  $\bar{I}_{f_5}$ , it follows that  $SO(4)(\langle f_5 \rangle) \cap \bar{I}_{f_5} = \langle f_5 \rangle$  and so  $A_{f_5} \cap T_{\langle f_5 \rangle} = \{0\}$ , or equivalently,  $E_{f_5} \circ f_5 \cap (f_5)_*(so(4)) = \{0\}$ . Thus, by (6), equality holds in (1). For fixed  $f: S^3 \rightarrow S^5$  with  $\langle f \rangle \in I_{f_5} \setminus \{\langle f_5 \rangle\}$ , we have  $SO(4)_f \subset SO(4)_{f_5}$ , which is a proper inclusion of the identity components as  $\Gamma \not\subset SO(4)_f$  but  $\Gamma \subset SO(4)_{f_5}$ . In particular,  $\dim SO(4)(\langle f \rangle) \geq 3$ . On the other hand,  $SO(4)(\langle f \rangle) \cap I_{f_5}$  is a circle (with center  $\langle f_5 \rangle$ ) and so  $\dim(A_f \cap T_{\langle f \rangle}) = 1$ , or equivalently,  $E_f \circ f \cap f_*(so(4))$  is 1-dimensional. Thus, equality holds in (1). For  $n = 6$ , let  $\sigma$  denote the center segment of the cone  $\bar{I}_{f_6}$  joining  $\langle f_5 \rangle$  and the vertex  $\langle f'_2 \rangle$ . For  $f: S^3 \rightarrow S^6$  with  $\langle f \rangle \in \sigma$ , the orbit  $SO(4)(\langle f \rangle)$  is 4-dimensional (as  $SO(4)_f = SO(4)_{f_5} \cap SO(4)_{f'_2} = SO(4)_{f_2} \cap SO(4)_{f'_2}$ ) and, as  $\Gamma$  fixes  $\langle f'_2 \rangle$ , it intersects  $I_{f_6}$  only at  $\langle f \rangle$ . Thus,  $E_f \circ f \cap f_*(so(4)) = \{0\}$  and since  $\dim E_f \circ f = 3$ , equality holds in (1). For  $\langle f \rangle \in I_{f_6} \setminus \sigma$ , the orbit  $SO(4)(\langle f \rangle)$  is 5-dimensional (as it can easily be seen by projecting  $\langle f \rangle$  down to  $I_{f_5}$  from  $\langle f'_2 \rangle$ ) and it intersects  $I_{f_6}$  in the circle  $\Gamma(\langle f \rangle)$ . In particular,  $E_f \circ f \cap f_*(so(4))$  is 1-dimensional and we are done. Finally, for  $n = 7$ , let  $\sigma$  denote the segment in  $\bar{I}_{f_7}$  joining  $\langle f_5 \rangle$  and  $\langle f_{5'} \rangle$  and consider first the case  $f: S^3 \rightarrow S^7$  with  $\langle f \rangle \in \sigma$ . If  $(a(t)) \subset SO(4)$  is a 1-parameter subgroup such that  $a(t) \cdot \langle f \rangle \in I_{f_7}$ , then  $a(t)(\bar{I}_{f_7}) = \bar{I}_{f_7}$ . Hence  $a(t) \cdot (\bar{I}_{f_5}) = \bar{I}_{f_5}$ , in particular,  $a(t)$  leaves  $\langle f_5 \rangle$  fixed. Similarly,  $a(t) \cdot \langle f'_{5'} \rangle = \langle f'_{5'} \rangle$  and it follows that  $a(t)$  leaves  $\langle f \rangle$  fixed. Thus,  $E_f \circ f \cap f_*(so(4)) = \{0\}$  and, as  $\dim SO(4)(\langle f \rangle) = 4$  and  $\dim E_f \circ f = 5$ , equality holds in (1). Secondly, assume that  $\langle f \rangle \in \sigma'$ , where  $\sigma'$  is a segment joining  $\langle f'_5 \rangle$  and a point  $\langle f' \rangle \in I_{f_5} \setminus \{\langle f_5 \rangle\}$ . Taking a 1-parameter subgroup  $(a(t)) \subset SO(4)$  with  $a(t) \cdot \langle f \rangle \in I_{f_7}$ , we obtain that either  $a(t)$  leaves  $\langle f \rangle$  fixed or rotates  $\langle f \rangle$  around the circle  $\Gamma(\langle f \rangle)$ . Thus  $\dim(E_f \circ f \cap f_*(so(4))) = 1$ ,  $\dim SO(4)(\langle f \rangle) = 5$  (as  $SO(4)_f = SO(4)_{f'} \cap SO(4)_{f'_5} = SO(4)_{f'} \cap SO(4)_{f'_2} \subset SO(4)_{f_2} \cap SO(4)_{f'_2}$ ) is 1-dimensional) and we are done. Lastly, assume that  $\langle f \rangle \in \sigma''$ , where  $\sigma''$  is a segment joining  $\langle f' \rangle \in I_{f_5} \setminus \{\langle f_5 \rangle\}$  and  $\langle f'' \rangle \in I_{f'_5} \setminus \{\langle f'_5 \rangle\}$ . Using the circle groups  $\Gamma$  and  $\Gamma'$  it follows that  $E_f \circ f \cap f_*(so(4)) = 2$ ,  $\dim SO(4)(\langle f \rangle) = 6$  and the proof is finished.

**Example.** The condition for equality in (1) fails to be satisfied if  $m > 3$ . In fact, for the full harmonic map  $f: S^5 \rightarrow S^9$  given by the Hopf–Whitehead construction applied to the tensor product  $\otimes: \mathbf{R}^3 \times \mathbf{R}^3 \rightarrow \mathbf{R}^9$ , i.e.,

$$f(x, y) = (\|x\|^2 - \|y\|^2, 2x \otimes y), \quad x, y \in \mathbf{R}^3, \quad \|x\|^2 + \|y\|^2 = 1,$$

we have

$$so(10) \circ f + E_f \circ f + f_*(so(6)) \neq K(f).$$

In fact, as computation shows,  $\dim K(f) = 81$ ,  $\dim so(10) \circ f = 45$ ,  $\dim E_f \circ f = 9$  and  $\dim f_*(so(6)) \leq 15$ .



**Theorem 2.** *For any  $m \geq 3$  and  $k \geq 2$  (nonrigid range), the principal isotropy type of the parameter space  $L^\circ$  with respect to the  $SO(m+1)$ -action is finite. Equivalently, the symmetry group of a full  $k$ -homogeneous harmonic map  $f: S^m \rightarrow S^n$  is generically (i.e., on an open dense subset of  $L^\circ$ ) is finite.*

*Proof.* We have just seen from the last step of the proof above that, for  $m = 3$  and  $k = 2$ , there exists finite isotropy and so the principal isotropy type should also be finite. Indicate, for a moment, the dependence of  $E$  on  $m$  and  $k$  by  $E_m^k$ . Then, for  $k \geq 2$ ,  $E_3^2 \otimes_{\mathbf{R}} \mathbf{C} \subset E_3^k \otimes_{\mathbf{R}} \mathbf{C}$  [8] and the result follows in this case. For  $m \geq 4$ ,  $SO(m+1)$  is simple and the (complex) irreducible  $SO(m+1)$ -modules with nonfinite principal isotropy type were classified by Wu-Yi Hsiang ([4], pp. 83–85). A comparison of his list with the irreducible components of  $E_m^k \otimes_{\mathbf{R}} \mathbf{C}$  gives the result.

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